

# PARTNERS IN RETIREMENT

TO TAKE ADVANTAGE OF THE BOOMING RETIREMENT MARKET, YOU NEED A PARTNER. LISTED ON THE PAGES BELOW ARE THOSE FIRMS ACTIVE IN THE RETIREMENT PLAN MARKETPLACE.

DIRECTORY BY LIANA ROBERTS AND KARA P. STAPLETON

## 401(K) PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL 401(K) PLAN ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL 401(K) PLANS SERVICED <sup>1</sup>	YEARS IN 401(K) PLAN BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
VANGUARD	\$290.000	3,357	24	800-523-1036	WWW.VANGUARD.COM
THE RETIREMENT GROUP AT MERRILL LYNCH <sup>3</sup>	\$102.800	32,105	24	609-274-7602	WWW.ML.COM
JPMORGAN RETIREMENT PLAN SERVICES	\$100.100	1,047	17	800-988-9084	WWW.PLANSPONSORPORTAL.COM
T. ROWE PRICE GROUP <sup>3</sup>	\$73.900	1,768	N/A	800-638-7890	WWW.TROWEPRICE.COM
PRUDENTIAL RETIREMENT <sup>3</sup>	\$71.300	4,335	28	800-353-2847	WWW.PRUDENTIAL.COM
PRINCIPAL FINANCIAL GROUP	\$68.800	26,124	30	800-986-3343	WWW.PRINCIPAL.COM
WACHOVIA BANK, NA <sup>4</sup>	\$62.206	4,274	25	800-669-5812	WWW.WACHOVIA.COM/401K
MERCER HR SERVICES	\$48.900	1,009	25	866-454-6477	WWW.MERCERHR.COM
NATIONWIDE FINANCIAL <sup>3</sup>	\$47.800	26,213	25	800-626-3112	WWW.NATIONWIDE.COM
PUTNAM INVESTMENTS <sup>3</sup>	\$46.900	807	24	888-226-6644	WWW.PUTNAM.COM
JOHN HANCOCK FINANCIAL SERVICES	\$45.900	37,078	27	800-347-9247	WWW.MY401KSALES.COM
BISYS RETIREMENT SERVICES	\$34.100	24,223	26	800-345-6363	WWW.BISYSRETIREMENT.COM
WELLS FARGO	\$30.000	1,515	33	866-235-6522	WWW.WELLSFARGO.COM
MASSMUTUAL FINANCIAL GROUP <sup>4</sup>	\$27.900	4,377	N/A	800-228-2479	WWW.MASSMUTUAL.COM/RETIRE
MARSHALL & ILSLEY TRUST CO., NA	\$19.500	1,038	25	800-342-2265	WWW.MITRUST.COM
OPPENHEIMERFUNDS, INC. <sup>3</sup>	\$17.700	33,614	25	800-734-5222	WWW.OPPENHEIMERFUNDS.COM
FIDELITY INVESTMENTS INSTITUTIONAL SERVICES CO., INC. <sup>2</sup>	\$16.500	2,414	9	800-684-5254	WWW.ADVISOR.FIDELITY.COM
TRANSAMERICA RETIREMENT SERVICES	\$14.100	14,500	24	888-401-5826	WWW.TA-RETIREMENT.COM
FISERV INVESTMENT SUPPORT SERVICES <sup>3</sup>	\$14.000	2,701	45	800-525-2124	WWW.FISERVISS.COM
THE STANDARD <sup>3</sup>	\$12.890	4,996	26	800-262-7111	WWW.STANDARD.COM
UNION BANK OF CALIFORNIA, NA	\$12.600	2,373	32	800-490-8262	WWW.SELECTBENEFIT.COM
RUSSELL INVESTMENT GROUP	\$12.527	N/A	26	800-426-7969	WWW.RUSSELL.COM
NEW YORK LIFE INVESTMENT MANAGEMENT <sup>3</sup>	\$12.000	1,993	24	800-695-8744	HTTP://INTRANET.NYLIM.COM/
THE HARTFORD	\$11.500	12,153	36	800-874-2502	WWW.HARTFORDINVESTOR.COM
SUNTRUST BANKS, INC.	\$9.220	6,307	29	888-816-4015	WWW.SUNTRUST.COM
LINCOLN FINANCIAL GROUP <sup>3</sup>	\$7.800	15,161	30	800-341-0441	WWW.LFG.COM
PNC INSTITUTIONAL INVESTMENTS	\$6.100	505	26	888-762-2265	WWW.PNC.COM
SECURIAN RETIREMENT	\$5.900	2,696	24	877-876-4015	WWW.SECURIAN.COM
ONEAMERICA/AMERICAN UNITED LIFE INSURANCE <sup>3</sup>	\$5.640	5,501	44	800-261-9618	WWW.ERETIREMENT.AUL.COM
FIFTH THIRD BANK <sup>3</sup>	\$4.270	1,201	22	800-820-9173	WWW.53.COM
CPI QUALIFIED PLAN CONSULTANTS, INC.	\$4.040	2,752	26	800-279-9916	WWW.CPIQPC.COM
DAILYACCESS CORP.	\$3.860	795	11	888-535-4322	WWW.DAILYACCESS.COM
UNIFI COMPANIES RETIREMENT PLANS*	\$2.985	3,107	35	800-923-2732	WWW.UNIFICOMPANIES.COM
MUTUAL OF OMAHA	\$1.200	552	30	800-843-2455	WWW.MUTUALOFOMAHA.COM/RETIRE
BROWN BROTHERS HARRIMAN	\$1.000	N/A	20	866-228-8969	WWW.BBH.COM
SYMETRA FINANCIAL	\$0.500	1,650	25	800-796-3872	WWW.SYMETRA.COM
AIM INVESTMENTS	\$0.495	1,900	22	800-347-4246	WWW.AIMINVESTMENTS.COM
SECURITY BENEFIT	\$0.400	403	24	800-888-2461	WWW.SECURITYBENEFIT.COM
THE TRUST COMPANY OF KNOXVILLE	\$0.400	180	20	865-971-1902	WWW.THETRUST.COM
THE ONLINE 401(K)	\$0.235	2,500	7	877-775-4015	WWW.THEONLINE401K.COM
PLANMEMBER SERVICES	\$0.100	50	6	800-874-6910	WWW.PLANMEMBERFINANCIAL.COM
401K EASY ONLINE	\$0.090	3,000	25	800-660-0050	WWW.401KEASY-ONLINE.COM
CALVERT	N/A	N/A	26	800-327-2109	WWW.CALVERT.COM
CORRELL CO.	N/A	774	32	708-599-5200	WWW.CORRELLCO.COM
EATON VANCE CORP.	N/A	350	9	800-225-6265	WWW.EATONVANCE.COM
FEDERATED INVESTORS, INC.	N/A	N/A	11	800-341-7400	WWW.FEDERATEDINVESTORS.COM

<sup>1</sup> All data is as of December 31, 2006, unless otherwise indicated, and was supplied by the companies themselves; *Investment Advisor* has not independently confirmed the data.

<sup>2</sup> As of November 30, 2006; <sup>3</sup> As of September 30, 2006; <sup>4</sup> As of June 30, 2006; \*An affiliation of Ameritas Life Ins. Corp., and The Union Central Life Ins. Co.'s retirement plans divisions

# PARTNERS IN RETIREMENT

## 457 PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL 457 PLAN ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL 457 PLANS SERVICED <sup>1</sup>	YEARS IN 457 PLAN BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
NATIONWIDE FINANCIAL <sup>3</sup>	\$36.200	7,381	33	800-626-3112	WWW.NATIONWIDE.COM
MASSMUTUAL FINANCIAL GROUP <sup>4</sup>	\$12.000	5	N/A	800-228-2479	WWW.MASSMUTUAL.COM/RETIRE
THE HARTFORD	\$8.940	1,796	36	800-874-2502	WWW.HARTFORDINVESTOR.COM
PRUDENTIAL RETIREMENT <sup>3</sup>	\$6.100	328	28	800-353-2847	WWW.PRUDENTIAL.COM
VANGUARD	\$6.000	130	7	800-523-1036	WWW.VANGUARD.COM
T. ROWE PRICE GROUP <sup>3</sup>	\$4.300	30	N/A	800-638-7890	WWW.TROWEPRICE.COM
PRINCIPAL FINANCIAL GROUP	\$1.300	246	20	800-986-3343	WWW.PRINCIPAL.COM
LINCOLN FINANCIAL GROUP <sup>3</sup>	\$0.900	1,018	30	800-341-0441	WWW.LFG.COM
UNION BANK OF CALIFORNIA, NA	\$0.500	45	32	800-490-8262	WWW.SELECTBENEFIT.COM
ONEAMERICA/AMERICAN UNITED LIFE INSURANCE <sup>3</sup>	\$0.381	729	44	800-261-9618	WWW.ERETIREMENT.AUL.COM
MARSHALL & ILSLEY TRUST CO., NA	\$0.271	33	13	800-342-2265	WWW.MITRUST.COM
SYMETRA FINANCIAL	\$0.210	365	32	800-796-3872	WWW.SYMETRA.COM
SECURIAN RETIREMENT	\$0.200	5	24	877-876-4015	WWW.SECURIAN.COM
SECURITY BENEFIT	\$0.200	945	26	800-888-2461	WWW.SECURITYBENEFIT.COM
OPPENHEIMERFUNDS, INC. <sup>3</sup>	\$0.181	189	10	800-734-5222	WWW.OPPENHEIMERFUNDS.COM
WELLS FARGO	\$0.100	13	33	866-235-6522	WWW.WELLSFARGO.COM
MUTUAL OF OMAHA	\$0.094	1	N/A	800-843-2455	WWW.MUTUALOFOMAHA.COM/RETIRE
THE STANDARD <sup>3</sup>	\$0.080	56	28	800-262-7111	WWW.STANDARD.COM
BISYS RETIREMENT SERVICES	\$0.077	30	6	800-345-6363	WWW.BISYSRETIREMENT.COM
WACHOVIA BANK, NA <sup>4</sup>	\$0.075	12	33	800-669-5812	WWW.WACHOVIA.COM/401K
JPMORGAN RETIREMENT PLAN SERVICES	\$0.050	2	17	800-988-9084	WWW.PLANSPONSORPORTAL.COM
CPI QUALIFIED PLAN CONSULTANTS, INC.	\$0.020	38	6	800-279-9916	WWW.CPIQPC.COM
DAILYACCESS CORP.	\$0.020	5	11	888-535-4322	WWW.DAILYACCESS.COM
NEW YORK LIFE INVESTMENT MANAGEMENT <sup>3</sup>	\$0.015	3	24	800-695-8744	HTTP://INTRANET.NYLIM.COM/
UNIFI COMPANIES RETIREMENT PLANS*	\$0.009	19	5	800-923-2732	WWW.UNIFICOMPANIES.COM
THE TRUST COMPANY OF KNOXVILLE	\$0.001	1	20	865-971-1902	WWW.THETRUST.COM
AIM INVESTMENTS	N/A	N/A	14	800-347-4246	WWW.AIMINVESTMENTS.COM
CALVERT	N/A	N/A	26	800-327-2109	WWW.CALVERT.COM
CORRELL CO.	N/A	6	32	708-599-5200	WWW.CORRELLCO.COM
FEDERATED INVESTORS, INC.	N/A	N/A	9	800-341-7400	WWW.FEDERATEDINVESTORS.COM
PUTNAM INVESTMENTS <sup>3</sup>	N/A	N/A	N/A	888-226-6644	WWW.PUTNAM.COM
SUNTRUST BANKS, INC.	N/A	N/A	N/A	888-816-4015	WWW.SUNTRUST.COM

<sup>1</sup> All data is as of December 31, 2006, unless otherwise indicated, and was supplied by the companies themselves; *Investment Advisor* has not independently confirmed the data.

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# PARTNERS IN RETIREMENT

## 403(B) PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL 403(B) ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL 403(B) PLANS SERVICED <sup>1</sup>	YEARS IN 403(B) BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
LINCOLN FINANCIAL GROUP <sup>3</sup>	\$23.300	11,846	30	800-341-0441	WWW.LFG.COM
VANGUARD	\$20.000	220	27	800-523-1036	WWW.VANGUARD.COM
PRUDENTIAL RETIREMENT <sup>3</sup>	\$7.900	1,244	43	800-353-2847	WWW.PRUDENTIAL.COM
NATIONWIDE FINANCIAL <sup>3</sup>	\$5.600	11,844	25	800-626-3112	WWW.NATIONWIDE.COM
OPPENHEIMERFUNDS, INC. <sup>3</sup>	\$5.200	24,957	10	800-734-5222	WWW.OPPENHEIMERFUNDS.COM
SECURITY BENEFIT	\$4.400	5,888	36	800-888-2461	WWW.SECURITYBENEFIT.COM
PRINCIPAL FINANCIAL GROUP	\$3.700	1,694	20	800-986-3343	WWW.PRINCIPAL.COM
SYMETRA FINANCIAL	\$1.600	5,385	32	800-796-3872	WWW.SYMETRA.COM
ONEAMERICA/AMERICAN UNITED LIFE INSURANCE <sup>3</sup>	\$1.580	4,236	44	800-261-9618	WWW.ERETIREMENT.AUL.COM
AIM INVESTMENTS	\$1.200	7,200	29	800-347-4246	WWW.AIMINVESTMENTS.COM
PLANMEMBER SERVICES	\$1.200	2,100	24	800-874-6910	WWW.PLANMEMBERFINANCIAL.COM
SECURIAN RETIREMENT	\$1.100	3	44	877-876-4015	WWW.SECURIAN.COM
THE HARTFORD	\$0.840	1,513	36	800-874-2502	WWW.HARTFORDINVESTOR.COM
MARSHALL & ILSLEY TRUST CO., NA	\$0.810	7	4	800-342-2265	WWW.MITRUST.COM
JPMORGAN RETIREMENT PLAN SERVICES	\$0.640	3	17	800-988-9084	WWW.PLANSPONSORPORTAL.COM
RUSSELL INVESTMENT GROUP	\$0.527	N/A	26	800-426-7969	WWW.RUSSELL.COM
UNION BANK OF CALIFORNIA, NA	\$0.500	43	32	800-490-8262	WWW.SELECTBENEFIT.COM
FISERV INVESTMENT SUPPORT SERVICES <sup>3</sup>	\$0.400	6,338	45	800-525-2124	WWW.FISERVISS.COM
T. ROWE PRICE GROUP <sup>3</sup>	\$0.400	17	N/A	800-638-7890	WWW.TROWEPRICE.COM
WELLS FARGO	\$0.300	14	33	866-235-6522	WWW.WELLSFARGO.COM
NEW YORK LIFE INVESTMENT MANAGEMENT <sup>3</sup>	\$0.280	1	9	800-695-8744	HTTP://INTRANET.NYLIM.COM/
CPI QUALIFIED PLAN CONSULTANTS, INC.	\$0.270	145	15	800-279-9916	WWW.CPIQPC.COM
THE STANDARD <sup>3</sup>	\$0.210	53	26	800-262-7111	WWW.STANDARD.COM
FIDELITY INVESTMENTS INSTITUTIONAL SERVICES CO., INC. <sup>2</sup>	\$0.194	1,330	6	800-684-5254	WWW.ADVISOR.FIDELITY.COM
WACHOVIA BANK, NA <sup>4</sup>	\$0.122	7	33	800-669-5812	WWW.WACHOVIA.COM/401K
DAILYACCESS CORP.	\$0.120	25	11	888-535-4322	WWW.DAILYACCESS.COM
BISYS RETIREMENT SERVICES	\$0.112	42	6	800-345-6363	WWW.BISYSRETIREMENT.COM
PNC INSTITUTIONAL INVESTMENTS	\$0.100	7	22	888-762-2265	WWW.PNC.COM
MASSMUTUAL FINANCIAL GROUP <sup>4</sup>	\$0.052	10	N/A	800-228-2479	WWW.MASSMUTUAL.COM/RETIRE
FIFTH THIRD BANK <sup>3</sup>	\$0.030	11	22	800-820-9173	WWW.53.COM
SUNTRUST BANKS, INC.	\$0.014	1	29	888-816-4015	WWW.SUNTRUST.COM
THE TRUST COMPANY OF KNOXVILLE	\$0.001	2	20	865-971-1902	WWW.THETRUST.COM
CALVERT	N/A	N/A	26	800-327-2109	WWW.CALVERT.COM
CORRELL CO.	N/A	2	32	708-599-5200	WWW.CORRELLCO.COM
FEDERATED INVESTORS, INC.	N/A	N/A	11	800-341-7400	WWW.FEDERATEDINVESTORS.COM
PUTNAM INVESTMENTS <sup>3</sup>	N/A	N/A	N/A	888-226-6644	WWW.PUTNAM.COM

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# PARTNERS IN RETIREMENT

## DEFINED BENEFIT PLAN PROVIDERS, BY ASSETS

COMPANY	TOTAL DEFINED BENEFIT ASSETS (\$ BILLIONS) <sup>1</sup>	TOTAL DEFINED BENEFIT PLANS SERVICED <sup>1</sup>	YEARS IN DEFINED BENEFIT BUSINESS <sup>1</sup>	TOLL FREE	WEB SITE
WELLS FARGO	\$74.000	238	33	866-235-6522	WWW.WELLSFARGO.COM
MERCER HR SERVICES	\$48.400	78	62	866-454-6477	WWW.MERCERHR.COM
WACHOVIA BANK, NA <sup>4</sup>	\$32.370	618	54	800-669-5812	WWW.WACHOVIA.COM/401K
UNION BANK OF CALIFORNIA, NA	\$22.000	500	32	800-490-8262	WWW.SELECTBENEFIT.COM
VANGUARD	\$22.000	414	10	800-523-1036	WWW.VANGUARD.COM
SUNTRUST BANKS, INC.	\$15.990	264	29	888-816-4015	WWW.SUNTRUST.COM
PRUDENTIAL RETIREMENT <sup>3</sup>	\$15.400	1,418	82	800-353-2847	WWW.PRUDENTIAL.COM
MARSHALL & ILSLEY TRUST CO., NA	\$11.700	231	48	800-342-2265	WWW.MITRUST.COM
FIFTH THIRD BANK <sup>3</sup>	\$11.400	1,137	22	800-820-9173	WWW.53.COM
PRINCIPAL FINANCIAL GROUP	\$11.000	2,799	50	800-986-3343	WWW.PRINCIPAL.COM
JPMORGAN RETIREMENT PLAN SERVICES	\$7.500	435	16	800-988-9084	WWW.PLANSPONSORPORTAL.COM
THE RETIREMENT GROUP AT MERRILL LYNCH <sup>3</sup>	\$6.800	21	40	609-274-7602	WWW.ML.COM
MASSMUTUAL FINANCIAL GROUP <sup>4</sup>	\$5.200	182	N/A	800-228-2479	WWW.MASSMUTUAL.COM/RETIRE
NEW YORK LIFE INVESTMENT MANAGEMENT	\$4.970	81	36	800-695-8744	HTTP://INTRANET.NYLIM.COM/
NATIONWIDE FINANCIAL <sup>3</sup>	\$4.400	844	25	800-626-3112	WWW.NATIONWIDE.COM
PNC INSTITUTIONAL INVESTMENTS	\$4.300	495	30	888-762-2265	WWW.PNC.COM
FISERV INVESTMENT SUPPORT SERVICES <sup>3</sup>	\$1.800	102	45	800-525-2124	WWW.FISERVISS.COM
THE STANDARD <sup>3</sup>	\$1.340	361	44	800-262-7111	WWW.STANDARD.COM
AIM INVESTMENTS	\$1.000	N/A	N/A	800-347-4246	WWW.AIMINVESTMENTS.COM
BROWN BROTHERS HARRIMAN	\$1.000	N/A	50	866-228-8969	WWW.BBH.COM
SECURIAN RETIREMENT	\$0.600	122	41	877-876-4015	WWW.SECURIAN.COM
CHARLES SCHWAB	\$0.540	51	23	877-456-077	WWW.SCHWABINSTITUTIONAL.COM
SECURITY BENEFIT	\$0.400	20	23	800-888-2461	WWW.SECURITYBENEFIT.COM
UNIFI COMPANIES RETIREMENT PLANS*	\$0.305	71	43	800-923-2732	WWW.UNIFICOMPANIES.COM
ONEAMERICA/AMERICAN UNITED LIFE INSURANCE <sup>3</sup>	\$0.280	5	44	800-261-9618	WWW.ERETIREMENT.AUL.COM
LINCOLN FINANCIAL GROUP <sup>3</sup>	\$0.200	50	30	800-341-0441	WWW.LFG.COM
T. ROWE PRICE GROUP <sup>3</sup>	\$0.200	10	N/A	800-638-7890	WWW.TROWEPRICE.COM
PUTNAM INVESTMENTS <sup>3</sup>	\$0.041	24	24	888-226-6644	WWW.PUTNAM.COM
OPPENHEIMERFUNDS, INC. <sup>3</sup>	\$0.018	105	3	800-734-5222	WWW.OPPENHEIMERFUNDS.COM
SYMETRA FINANCIAL	\$0.014	20	32	800-796-3872	WWW.SYMETRA.COM
THE TRUST COMPANY OF KNOXVILLE	\$0.010	5	20	865-971-1902	WWW.THETRUST.COM
CORRELL CO.	N/A	81	32	708-599-5200	WWW.CORRELLCO.COM
JOHN HANCOCK FINANCIAL SERVICES	N/A	N/A	N/A	800-347-9247	WWW.MY401KSALES.COM
RUSSELL INVESTMENT GROUP	N/A	32	N/A	800-426-7969	WWW.RUSSELL.COM
TRANSAMERICA RETIREMENT SERVICES	N/A	N/A	N/A	888-401-5826	WWW.TA-RETIREMENT.COM

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