

**BUSINESS PUBLICATION CIRCULATION STATEMENT
FOR THE 6 MONTH PERIOD ENDED DECEMBER 2006**

No attempt has been made to rank the information contained in this report in order of importance, since BPA Worldwide believes this is a judgment, which must be made by the user of the report.

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A not-for-profit organization since 1931, BPA Worldwide is governed by a tripartite board comprising media owners, advertising agencies and advertisers. Spanning 25 countries, BPA serves more than 2,000 B-to-B publications and 500 consumer magazines, plus newspapers, events, Web sites, email newsletters, databases, wireless and other advertiser-supported media—as well as more than 2,600 advertiser and agency members.

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Official Publication of: None
Established: 1981
Issues Per Year: 12



FIELD SERVED

INVESTMENT ADVISOR serves the field of financial planning, registered investment advisors, securities brokers, broker/dealers, insurance, accounting, banking/investment, and others allied to the field.

DEFINITION OF RECIPIENT QUALIFICATION

Qualified recipients are financial planners, registered investment advisors, insurance agents, stock-brokers, accountants, bankers, executives of broker/dealer companies and other personnel in the field served.

AVERAGE NON-QUALIFIED CIRCULATION	
NON-QUALIFIED Not Included Elsewhere	Copies
Other Paid Circulation _____	203
Advertiser and Agency _____	2,060
Rotated or Occasional _____	-
Allocated for Trade Shows and Conventions _____	400
All Other _____	1,728
TOTAL	4,391

1. AVERAGE QUALIFIED CIRCULATION BREAKOUT FOR PERIOD						
QUALIFIED CIRCULATION	Total Qualified		Qualified Non-Paid		Qualified Paid	
	Copies	Percent	Copies	Percent	Copies	Percent
Individual _____	92,667	100.0	92,667	100.0	-	-
Sponsored Individually Addressed _	-	-	-	-	-	-
Membership Benefit _____	-	-	-	-	-	-
Multi-Copy Same Addressee _____	-	-	-	-	-	-
Single Copy Sales _____	-	-	-	-	-	-
TOTAL QUALIFIED CIRCULATION	92,667	100.0	92,667	100.0	-	-

2. QUALIFIED CIRCULATION BY ISSUES WITH REMOVALS AND ADDITIONS FOR PERIOD											
2006 Issue	Number Removed	Number Added	Qualified Non-Paid	Qualified Paid	Total Qualified	2006 Issue	Number Removed	Number Added	Qualified Non-Paid	Qualified Paid	Total Qualified
July _____	6,642	6,642			84,000	October _____	226	226			84,000
August _____	294	294			84,000	November _____	6,468	32,468			110,000
September _____	604	604			84,000	December _____	73	73			110,000
						TOTAL	14,307	40,307			

3a. BUSINESS/OCCUPATIONAL BREAKOUT OF QUALIFIED CIRCULATION FOR ISSUE OF NOVEMBER 2006

This issue is 23.3% or 20,800 copies above the average of the other 5 issues reported in Paragraph two. (See Paragraph 11)

BUSINESS AND INDUSTRY	TOTAL QUALIFIED	PERCENT OF TOTAL
A. Independent Financial Planning Firm/RIA/Investment Advisory _____	68,899	62.6
B. Broker-Dealer / Full Service Securities _____	21,150	19.2
C. Insurance Broker-Dealer _____	6,771	6.2
D Accounting Firms _____	4,169	3.8
E Bank/Trust Officer _____	3,831	3.5
F. Others Allied to the Field _____	5,180	4.7
TOTAL QUALIFIED CIRCULATION	110,000	100.0

3b. QUALIFICATION SOURCE BREAKOUT OF QUALIFIED CIRCULATION FOR ISSUE OF NOVEMBER 2006

QUALIFICATION SOURCE	Qualified Within			Qualified Non-Paid	Qualified Paid	Total Qualified	Percent
	1 year	2 years	3 years				
I. TOTAL – Personal direct request from the recipient: _____	92,602	11,515	-			104,117	94.6
a. Written _____	21,714	2,736	-			24,450	22.2
b. Telecommunication _____	55,104	4,726	-			59,830	54.4
c. Electronic _____	15,784	4,053	-			19,837	18.0
II. TOTAL – Request from recipient's company: _____	536	90	-			626	0.6
a. Written _____	38	47	-			85	0.1
b. Telecommunication _____	184	43	-			227	0.2
c. Electronic _____	314	-	-			314	0.3
III. TOTAL – Membership Benefit: _____	-	-	-			-	-
a. Individual _____	-	-	-			-	-
b. Organizational _____	-	-	-			-	-
IV. TOTAL – Communication from recipient or recipient's company (other than request): _____	-	-	-			-	-
a. Written _____	-	-	-			-	-
b. Telecommunication _____	-	-	-			-	-
c. Electronic _____	-	-	-			-	-
V. TOTAL – Sources other than above (listed alphabetically): _____	5,257	-	-			5,257	4.8
Association rosters and directories _____	1,500	-	-			1,500	1.4
Business directories _____	3,757	-	-			3,757	3.4
Independent field reports _____	-	-	-			-	-
Licenseses – National, State or Local Government _____	-	-	-			-	-
Manufacturer's, distributor's and wholesaler's lists _____	-	-	-			-	-
Other sources _____	-	-	-			-	-
VI. TOTAL – Single Copy Sales: _____	-	-	-			-	-
TOTAL QUALIFIED CIRCULATION	98,395	11,605	-			110,000	100.0
PERCENT	89.4	10.6	-			100.0	-

3c. MAILING ADDRESS BREAKOUT OF QUALIFIED CIRCULATION FOR ISSUE OF NOVEMBER 2006

MAILING ADDRESS	Qualified Non-Paid	Qualified Paid	Total Qualified	Percent
Individuals by name and title and/or function _____			94,911	86.4
Individuals by name only _____			14,988	13.5
Titles or functions only _____			19	-
Company names only _____			82	0.1
Multi-Copy Same Addressee copies _____			-	-
Single Copy Sales _____			-	-
TOTAL QUALIFIED CIRCULATION			110,000	100.0

4. GEOGRAPHICAL BREAKOUT OF QUALIFIED CIRCULATION FOR ISSUE OF NOVEMBER 2006

State & Zip Code	Qualified Non-Paid	Qualified Paid	Total Qualified	Percent
039-049 Maine _____			377	
030-038 New Hampshire _____			567	
050-059 Vermont _____			248	
010-027 Massachusetts _____			3,944	
028-029 Rhode Island _____			456	
060-069 Connecticut _____			2,596	
NEW ENGLAND			8,188	7.4
100-149 New York _____			10,461	
070-089 New Jersey _____			4,314	
150-196 Pennsylvania _____			5,319	
MIDDLE ATLANTIC			20,094	18.3
430-459 Ohio _____			4,401	
460-479 Indiana _____			1,849	
600-629 Illinois _____			5,667	
480-499 Michigan _____			3,329	
530-549 Wisconsin _____			2,366	
EAST NO. CENTRAL			17,612	16.0
550-567 Minnesota _____			2,611	
500-528 Iowa _____			1,191	
630-658 Missouri _____			2,077	
580-588 North Dakota _____			284	
570-577 South Dakota _____			274	
680-693 Nebraska _____			848	
660-679 Kansas _____			1,141	
WEST NO. CENTRAL			8,426	7.7
197-199 Delaware _____			359	
206-219 Maryland _____			2,234	
200-205 Washington, DC _____			482	
220-246 Virginia _____			2,530	
247-268 West Virginia _____			254	
270-289 North Carolina _____			2,548	
290-299 South Carolina _____			898	
300-319 Georgia _____			2,814	
320-349 Florida _____			6,200	
SOUTH ATLANTIC			18,319	16.6
400-427 Kentucky _____			833	
370-385 Tennessee _____			1,681	
350-369 Alabama _____			894	
386-397 Mississippi _____			407	
EAST SO. CENTRAL			3,815	3.5
716-729 Arkansas _____			544	
700-714 Louisiana _____			722	
730-749 Oklahoma _____			866	
750-799 Texas _____			6,676	
WEST SO. CENTRAL			8,808	8.0
590-599 Montana _____			313	
832-838 Idaho _____			435	
820-831 Wyoming _____			131	
800-816 Colorado _____			2,513	
870-884 New Mexico _____			398	
850-865 Arizona _____			1,752	
840-847 Utah _____			747	
889-898 Nevada _____			597	
MOUNTAIN			6,886	6.3
995-999 Alaska _____			146	
980-994 Washington _____			2,200	
970-979 Oregon _____			1,176	
900-961 California _____			13,758	
967-968 Hawaii _____			389	
PACIFIC			17,669	16.0
UNITED STATES			109,817	99.8
969 & 004-009 U.S. Territories _____			162	
Canada _____			-	
Mexico _____			-	
Other International _____			-	
APO/FPO _____			21	
TOTAL QUALIFIED CIRCULATION			110,000	100.0

9. FIVE CALENDAR YEAR ANALYSIS: AVERAGE ANNUAL AUDITED QUALIFIED CIRCULATION AND CURRENT UNAUDITED CIRCULATION STATEMENTS					
	Audited Data	Audited Data	Audited Data	Audited Data	Circulation Claim
	2002	2003	2004	2005	*2006
Total Audit Average Qualified:	68,224	68,000	69,500	76,963	86,000
Qualified Non-Paid: _____	68,224	68,000	69,500	76,963	86,000
Qualified Paid: _____	-	-	-	-	-
Post Expire Copies included in Paid Circulation: _____	**NC	**NC	**NC	**NC	**NC
Average Annual Order Price: __	**NC	**NC	**NC	**NC	**NC

***NOTE: 2006 data is unaudited.**

**NC = None claimed.

10. PAID CIRCULATION DATA	
**NC	Average Annual Subscription Order Price for the Period Required (includes promotional incentive value, if any)
12	Issues Per Year
**NC	All Single Copy Sales Prices for the Period
**NC	Renewal Rate of Paid Subscribers (Optional)

11. ADDITIONAL DATA

PARAGRAPH 3a:

The November 2006 issue is 23.3% or 20,800 copies above the average of the other 5 issues reported in Paragraph two.

PARAGRAPHS 5 THROUGH 8 ARE NOT REQUIRED.

PUBLISHER'S AFFIDAVIT

We hereby make oath and say that all data set forth in this statement are true.

Andy Sonnenberg, Publisher

James Marinaccio, Circulation Director

(At least one of the above signatures must be that of an officer of the publishing company or its authorized representative.)

IMPORTANT NOTE:

This unaudited circulation statement has been checked against the previous audit report. It will be included in the annual audit made by BPA Worldwide.

Date signed January 12, 2007

State New Jersey

County Monmouth

Received by BPA Worldwide January 12, 2007

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