## Requests for information under:

# Freedom of Information Act, Environmental Information Regulations and Data Protection Act

Enquiry Statistics April - June 2006



#### **Timeliness**

| Number of requests received in the quarter between 01/04/06 and 30/06/06 that fulfil the definition of a request for the purposes of monitoring. | 1150 |
|--|------|
| Of these, number which fall fully or mostly under the Environmental Information Regulations (EIRs)   | 4    |
| Number of requests (both FoI and EIRs) received during the above quarter that are still being processed at the current monitoring date           | 145  |
| Of these outstanding requests:   |      |
| Number of requests where the 20 working day* deadline for response has been extended as permitted in legislation (see help text)                 | 23   |
| Number of requests still outstanding where a fee has been charged or a fee notice issued   | 118  |
| Number of other requests still being processed (after the expiry of the 20 working day* response deadline)                                       | 4    |
| Number of requests (FoI and EIRs) received during the above quarter that have been processed in full at the current monitoring date              | 1005 |
| Of these completed requests:   |      |
| Number of requests that took 20 working days* or less to be processed  | 937  |
| Number of requests where the 20 working day* deadline for response was been extended as permitted in legislation (see help text)                 | 54   |
| Number of other cases where processing took longer than 20 working days*   | 14   |
| Outcomes   |      |
| Number of requests received during the quarter between 01/04/06 and 30/06/06 that were processed in full at the current monitoring date          | 937  |
| Of the above total:  |      |
| Number of requests that were granted in full   | 496  |

<sup>\*</sup>The Freedom of Information (Time for Compliance with Request) Regulations 2004, permits The National Archives an additional 10 working days to answer requests 'which relate wholly or partly to information in a transferred public record' that 'has not been designated as open information for the purposes of section 66 of the [2000 Freedom of Information] Act'.

| Number of requests where <b>advice and assistance</b> was provided to the requester (see help text)   | 128  |
|---|------|
| Number of requests that were refused in full because the department has searched for the information requested and does not hold any of it  | 205  |
| Number which were refused because they were considered <b>vexatious</b> (under section 14(1) of the Fol Act)  | 0    |
| Number which were refused because they were considered <b>repeated</b> (under section 14(2) of the Fol Act)   | 0    |
| Number which were refused because the department estimated that the cost of response would <b>exceed the appropriate limit</b> (under section 12 of the Fol Act)                              |      |
| Number of requests (not counted at cells D to G above) where the department <b>refused part</b> of the information requested  | 18   |
| Number of requests (not counted at cells D to H above) where the department <b>refused</b> <u>all</u> of the information requested  | 90   |
| Fees  |      |
| Number of requests received during the quarter between 01/04/06 and 30/06/06 for which a fee notice was issued or a fee was charged   | 269  |
| Of these requests, number where   |      |
| request is still outstanding (awaiting payment)   | 118  |
| fee was paid and request was processed  | 146  |
| fee was not paid and request was processed  | 0    |
| fee was not paid and request was not processed (because payment deadline had elapsed)   | 5    |
| Total amount collected in fees for requests received during the quarter between 01/04/06 and 30/06/06 (to nearest £)  | 8492 |
| Number of requests received during the quarter between 01/04/06 and 30/06/06 where departments processed a request in excess of the fees limit because the applicant paid the required amount |      |
| Use of exemptions and exceptions  |      |
| Number of requests received during the quarter between 01/04/06 and 30/06/06 where information was <u>refused</u> either fully or in part   | 108  |

For requests under the Freedom of Information Act, number which cited each of the exemptions in sections 22 to 44 as a reason for refusal

| S(22) - Information intended for future publication.  |              |
|---|--------------|
| S(23) - Information supplied by, or relating to, bodies dealing with  |              |
| security matters.   | 8            |
| S(24) - National security.  |              |
| S(26) - Defence.  | 1            |
| S(27) - International relations.  | 9            |
| S(28) - Relations within the United Kingdom.  |              |
| S(29) - The economy.  | 2            |
| S(30) - Investigations and proceedings conducted by public  |              |
| authorities.  | 4            |
| S(31) - Law enforcement.<br>S(32) - Court records, etc.   | 5            |
| S(33) - Audit functions.  | 3            |
| S(34) - Parliamentary privilege.  |              |
| S(35) - Formulation of government policy, etc.  |              |
| S(36) - Prejudice to effective conduct of public affairs.   |              |
| S(37) - Communications with Her Majesty, etc. and honours.  |              |
| S(38) - Health and safety.  | 5            |
| S(40) - Personal information.   | 81           |
| S(41) - Information provided in confidence.   | 29           |
| S(42) - Legal professional privilege.   | 1            |
| S(43) - Commercial interests.   | -            |
| • •   |              |
| S(44) - Prohibitions on disclosure.   | 1            |
| S(44) - Prohibitions on disclosure.   | 1 Total: 146 |
|   |              |
| For requests under the Environmental Information Regulations, number  |              |
|   |              |
| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation  |              |
| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 2 as a reason for refusal  |              |
| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 12 as a reason for refusal 3(a) - Exempt personal data 4(b) - Manifestly unreasonable 4(c) - Too general   |              |
| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 2 as a reason for refusal 3(a) - Exempt personal data 4(b) - Manifestly unreasonable 4(c) - Too general 4(d) - Work in progress / incomplete data  |              |
| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 12 as a reason for refusal 3(a) - Exempt personal data 4(b) - Manifestly unreasonable 4(c) - Too general   |              |
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| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 2 as a reason for refusal  3(a) - Exempt personal data 4(b) - Manifestly unreasonable 4(c) - Too general 4(d) - Work in progress / incomplete data 4(e) - Internal communications 5(a) - Adverse effect on international relations, defence, national security or public safety  |              |
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| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 12 as a reason for refusal  3(a) - Exempt personal data 4(b) - Manifestly unreasonable 4(c) - Too general 4(d) - Work in progress / incomplete data 4(e) - Internal communications 5(a) - Adverse effect on international relations, defence, national security or public safety 5(b) - Adverse effect on course of justice or conduct of inquiries 5(c) - Adverse effect on intellectual property rights 5(d) - Impinges on confidentiality of a public authority's work (where provided by law) 5(e) - Impinges on confidentiality of commercial or industrial   |              |
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| For requests under the Environmental Information Regulations, number which cited each of the Exceptions to the right to disclosure in regulation 12 as a reason for refusal  3(a) - Exempt personal data 4(b) - Manifestly unreasonable 4(c) - Too general 4(d) - Work in progress / incomplete data 4(e) - Internal communications 5(a) - Adverse effect on international relations, defence, national security or public safety 5(b) - Adverse effect on course of justice or conduct of inquiries 5(c) - Adverse effect on intellectual property rights 5(d) - Impinges on confidentiality of a public authority's work (where provided by law) 5(e) - Impinges on confidentiality of commercial or industrial information (where provided by law to protect a legitimate economic interest) 5(f) - Adverse effect on interests of person who provided the                                     |              |

 $<sup>^{\</sup>star}$  Note that a single request can cite more than one specific exemption / exception as a reason for refusal

#### Use of certificates

| Of requests received during the quarter between 01/04/06 and 30/06/06 that are processed in full at the current monitoring date  |    |
|--|----|
| Number of times a certificate was issued under section 23(2) of the Freedom of Information Act ("Information about security bodies")   | 0  |
| Number of certificates issued under section 24(3) of the Freedom of Information Act ("Information affecting national security")  | 0  |
| Use of public interest extensions  |    |
| Number of requests received in the calendar year to the current monitoring date where the statutory 20 working day response deadline was extended as permitted in legislation                                    | 54 |
| Of the above total:  |    |
| Number where the request was still being processed at the time of monitoring   | 23 |
| Number where the request has been fully processed  | 31 |
| Of these fully-processed requests, number for which the total <u>extension</u> to the statutory deadline was:  |    |
| 5 working days or less  Between 6 and 10 working days  Between 11 and 15 working days  Between 16 and 20 working days  Between 21 and 30 working days  Between 31 and 40 working days  More than 40 working days |    |
| Internal reviews   |    |
| Number of requests which have been referred for internal review in the calendar year to the current monitoring date on the grounds that requested information was not provided                                   | 12 |
| Of these, the number of requests where:  |    |
| original handling <u>upheld in full</u>  | 6  |
| original handling <u>upheld in part</u>  | 3  |
| original handling <u>overturned</u>  | 1  |
| outcome not known at current monitoring date   | 2  |

### **Appeals to the Information Commissioner**

| Number of requests that were appealed to the Information Commissioner in the calendar year to the current monitoring date on the grounds that requested information was not provided                           | 13    |
|--|-------|
| Of these appealed requests:  |       |
| Number where original handling upheld in full  |       |
| Number where original handling upheld in part  |       |
| Number where original handling overturned  |       |
| Number where outcome not known at current monitoring date  | 13    |
| Appeals to the Information Tribunal  |       |
| Number of times in the calendar year to the current monitoring date that your department has <b>joined or been subject to</b> an formal Appeal to the Information Tribunal in relation to withheld information | 0     |
| Of these appeals:  |       |
| Number where Information Tribunal rules that <u>all</u> of the requested information should be withheld  |       |
| Number where Information Tribunal rules that <u>some but not all</u> of the requested information should be withheld   |       |
| Number where Information Tribunal rules that <u>none</u> of the requested information should be withheld   |       |
| Number where outcome not known at current monitoring date  |       |
| Comments sheet Please provide any comments on the data you have submitted in the box below   |       |
| TNA is unable to breakdown the data for Public Interest Test time extension the data is not retrievable in that format from the tracking system  | since |