

# 4xx Fields

## Chapter Summary

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## 4xx Introduction

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### 4xx fields

Use 4xx and 8xx fields for series data. Use 4xx fields for series statements transcribed from the item. Use field 440 if the transcribed and traced versions are the same. Use 8xx fields for series added entries if the added entry differs from the series statement or if the series statement is in a note (5xx fields).

Use field 440 for series statements that are also the traced form.

Use field 490 for series statements **not** traced or traced differently. To trace the series, enter the series added entry in an appropriate 8xx field.

Use field 490 for name/title series statements found in retrospective cataloging copy. Trace the series in field 800, 810, 811, or 830.

Fields 400, 410, and 411 are obsolete. OCLC has run a database scan to convert them. Records containing these fields fail validation. You may find these fields in local systems in records used prior to this change.

### 8xx fields

Use field 800, field 810 and field 811 for series added entries in name/title form.

Use field 830 for any series added entry in title form.

### Printing series data

Printing specifications pertain to series fields (4xx and 8xx), **not** to series data incorporated in notes.

Field 440 and 490 print following the physical description as a series statement.

The print program supplies parentheses around each series statement in the 4xx fields. Do **not** enter parentheses or final punctuation in a 4xx field. If more than one series statement is present in a record, the print program encloses each statement in parentheses. One space separates multiple series statements.

**For series tracings based on the 440 field**, the print program supplies the word *Series* preceded by the appropriate Roman numeral.

**For subsequent series tracings based on the 490 field, if any**, the print program supplies the series entry preceded by the word *Series:* and the appropriate Roman numeral. If the series is in field 490, an 8xx field or an 8xx/note combination, the **first** series prints preceded by *Series:*.

The print program supplies a period at the end of the series heading and tracing unless final punctuation (. ! ?) is present. However, the print program does **not** supply a period for the first series tracing.

**ISBD records.** The print program supplies a space-dash-space ( -- ) between the physical description (3xx fields) and the first series statement.

```
300    18 p. : Ꞥb ill. ; Ꞥc 27 cm.  
440 0  Education around the world  
490 1  DHEW publication ; Ꞥv no. (OE) 74-19109
```

*Prints as:*

```
18 p. : ill. ; 27 cm. -- (Education around the world) (DHEW publication ; no.  
(OE) 74-19109)
```

## 4xx Introduction (cont.)

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If a publication includes the ISSN for the series, enter the ISSN in subfield  $\ddot{x}$  of the series statement field. The print program supplies *ISSN* before the number. The ISSN does **not** print in the series tracing or heading:

440 4 The British travel series,  $\ddot{x}$  0021-5654

*Prints as:*

(The British travel series, ISSN 0021-5654)

## 440 Series Statement/Added Entry–Title (R)

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### Input Standards

Required if applicable/Optional

**1st Indicator** Undefined

␣ Undefined

**2nd Indicator** Nonfiling characters

0 No nonfiling characters

1-9 Number of nonfiling characters present

**Subfields** (R=Repeatable NR=Nonrepeatable)

⌘a Title (NR)

⌘n Number of part/section of a work (R)

⌘p Name of part/section of a work (R)

⌘v Volume number/ sequential designation (NR)

⌘x International Standard Serial Number (NR)

### Input Standards

Mandatory/Mandatory

Required if applicable/Required if applicable

Required if applicable/Required if applicable

Required if applicable/Required if applicable

Required if applicable/Required if applicable

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### Definition

A series title statement when the series statement and the controlled added entry form of the series title are the same. Field 440 is both a series statement and a series added entry. When a 440 field is present, a corresponding field 830 (Series Added Entry–Uniform Title) is **not** used since it would duplicate the 440 field. See “4xx Introduction” for more information.

### Guidelines

For unpublished and manuscript material, do **not** use field 440 since series statements do **not** apply under AACR2.

### 1st Indicator

**Undefined.** The 1st indicator position is undefined and contains a blank (␣).

**␣ Undefined**

### 2nd Indicator

**Nonfiling characters.** The number of character positions associated with a definite or indefinite article (e.g., *An* or *The*) at the beginning of a title and that are disregarded in sorting and filing processes.

**0 No nonfiling characters.** No initial article character positions are disregarded. Use value 0 if the title does **not** begin with an article.

440 0 Collection africaine

Diacritical marks or special characters at the beginning of a series statement field that does **not** begin with an initial article are **not** counted as nonfiling characters.

440 0 “I can finish it” series

**1-9 Number of nonfiling characters present.** A field begins with a definite or indefinite article that is disregarded in sorting and filing processes. Enter the number of characters in the article, plus spaces, punctuation, diacritical marks and special characters that precede the first significant word.

Any diacritical mark or special character associated with the first filing character is **not** included in the count of nonfiling characters.

440 4 The Pediatric clinics of North America ; ⌘v v. 2, no. 4

### Subfields

#### ⌘a Title

The title proper of the series, excluding the numbering or title of a part.

440 0 Bahrain surface materials resources survey 1:50,000 ; ⌘v map 5

## 440 Series Statement/Added Entry–Title (R) (cont.)

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**‡n Number of part/  
section of a work**

The number designation for a part/section of a series forming part of the title. Generally, code a number designation without a corresponding title of the part in subfield ‡v.

440 0 Journal of polymer science. ‡n Part C, ‡p Polymer symposia ; ‡v no. 39

**‡p Name of part/  
section of a work**

The title of a part/section of a series.

440 0 Janua linguarum. ‡p Series maior, ‡x 0075-3114 ; ‡v 100

**‡v Volume number/  
sequential  
designation**

The volume number or other sequential designation used in conjunction with the series statement.

440 0 Acta Universitatis Stockholmiensis. ‡p Stockholm economic studies ;  
‡v new ser., 7

**‡x International  
Standard Serial  
Number**

The International Standard Serial Number (ISSN) for a series. The ISSN is an agency-assigned data element.

Do not enter the designation *ISSN* in subfield ‡x.

**Pre-AACR2 records.** Enter subfield ‡x after subfield ‡v.

440 4 The critical idiom ; ‡v 24 ‡x 0309-2030

**AACR2 records.** Enter subfield ‡x before subfield ‡v.

440 0 Russian titles for the specialist, ‡x 0305-3741 ; ‡v no. 78

When an item contains an ISSN for the series, but lacks a series title, the ISSN may be recorded in field 500 (General Note).

500 "ISSN 0399-3388."

**Printing**

Field 440 prints following the physical description and as an added entry. See "4xx Introduction" for more information.

The print program provides the designation *ISSN* before the number in printed series statements. The ISSN does **not** print in the series tracing or added entry.

**Pre-AACR2 records.** Internal punctuation in field 440 prints as input.

**AACR2 records.** The print program suppresses the comma that follows the series title (subfields ‡a, ‡n and ‡p) and inserts a space-semicolon-space ( ; ) preceding the number (subfield ‡v). The print program supplies a period at the end of the added entry or tracing.

440 0 Yesterday's music, ‡x 4344-1277 ; ‡v no. 56

*Prints as heading:*

Yesterday's music ; no. 56.

## 490 Series Statement (R)

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### Input Standards

Req'd if applicable/Req'd if applicable

**1st Indicator** Specifies whether series is traced

0 Series not traced

1 Series traced differently

**2nd Indicator** Undefined

⚭ Undefined

**Subfields** (R=Repeatable NR=Nonrepeatable)

‡a Series statement (R)

‡l Library of Congress call number (NR)

‡v Volume number/sequential designation (R)

‡x International Standard Serial Number (NR)

### Input Standards

Mandatory/Mandatory

LC use only/LC use only

Req'd if applicable/Req'd if applicable

Req'd if applicable/Req'd if applicable

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### Definition

A series statement for which no series added entry is to be made or for which the series added entry is in a controlled form different from that which appears in the series statement. Field 490 does **not** serve as a series added entry. When field 490 is used and a series added entry is appropriate, both the series statement (field 490) and a corresponding series added entry (fields 800–830) are recorded in the bibliographic record. Always use field 490 when a series statement contains the volume(s) or date(s) during which the publication was issued as an integral part of the title. See “4xx Introduction” for more information.

### Guidelines

For unpublished and manuscript material, do **not** use field 490 since series statements do **not** apply under AACR2.

### 1st Indicator

**Specifies whether series is traced.** A value that specifies whether the series has no corresponding added entry (**not** traced) or has a corresponding added entry in a different form (traced differently) in a series added entry: 8xx Fields (fields 800–830).

**0 Series not traced.** No series added entry is desired for the series.

490 0 Pelican books  
*[No 800–830 field is in record.]*

**1 Series traced differently.** The controlled form of entry for the series in the series added entry differs from that in the series statement. With value 1, the appropriate 800–830 field (8xx Fields) is included in the bibliographic record to provide the series added entry. If the series is traced differently, enter the series tracing in an 8xx field (8xx Fields).

490 1 Department of State publication ; ‡v 7846. ‡a Department and Foreign Service series ; ‡v 128  
830 0 Department of State publication ; ‡v 7846.  
830 0 Department of State publication ; ‡p Department and Foreign Service series ; ‡v 128  
*[The series statement contains a numbered series and a subseries and both are to be traced separately.]*

490 1 Uniform crime reports  
830 0 Uniform crime reports (Washington, D.C.)  
*[The established form of entry for the series includes a parenthetical qualifier.]*

## 490 Series Statement (R) (cont.)

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<b>2nd Indicator</b>	<b>Undefined.</b> The 2nd indicator position is undefined and contains a blank ( <b>b</b> ). <b>b Undefined</b>
<b>Subfields</b>	
<b>‡a Series statement</b>	<p>The title proper of the series. Use also for dates or volume numbers preceding or appearing as part of the title, other title information or the statement of responsibility.</p> <p>490 1 1972/73-1975/76: Research report / National Education Association Research</p> <p>Repeat subfield ‡a when a subseries is separated from the main series by the numbering of the main series in subfield ‡v or by the ISSN in subfield ‡x or when a series has a parallel title. Also, enter all parallel titles in separate subfield ‡a's.</p> <p>490 1 Annual census of manufactures = ‡a Recensement des manufactures, ‡x 0315-5587</p>
<b>‡l Library of Congress call number</b>	<p>LC use only. The Library of Congress call number when it is given as part of the series statement. This occurs when an item is issued as part of a series during a period of time and has been classified under the LC call number of that series for that period. For more information, see field 050.</p> <p>490 1 Bulletin / Engineering Experiment Station ; ‡v no. 50 ‡l (TA7.074)</p>
<b>‡v Volume number/ sequential designation</b>	<p>The volume number or other sequential designation used in conjunction with the series statement.</p> <p>490 1 Topics in current chemistry = ‡a Fortschritte der chemischen Forschung ; ‡v 118</p> <p>Alternate numbering systems, preceded by an equal sign (=), are <b>not</b> placed in separate subfields.</p> <p>490 0 Forschungen zur Geschichte Voralbergs ; ‡v 6 Bd. = der ganzen Reihe 13 Bd.</p>
<b>‡x International Standard Serial Number</b>	<p>The International Standard Serial Number (ISSN) for a series. The ISSN is an agency-assigned data element.</p> <p>Do not enter the designation <i>ISSN</i> in subfield ‡x.</p> <p>490 0 Life series, ‡x 0023-6721</p> <p>When an item contains an ISSN for the series, but lacks a series title, the ISSN is recorded in field 500 (General Note).</p> <p>500 "ISSN 0399-3388."</p>
<b>Printing</b>	<p>Field 490 prints following the physical description and as an added entry. See "4xx Introduction" for more information.</p> <p>The print program provides the designation <i>ISSN</i> before the number in printed series statements. The ISSN does <b>not</b> print in the series tracing or added entry.</p>

(cont.)

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4xx	Introduction	<b>4:2</b>
440	Series Statement/Added Entry–Title	<b>4:4</b>
490	Series Statement	<b>4:6</b>