



**Linda S. Adams**  
*Secretary for  
Environmental Protection*

# State Water Resources Control Board

## Division of Water Quality

1001 I Street • Sacramento, California 95814 • (916) 341-5538  
Mailing Address: P.O. Box 1977 • Sacramento, California • 95812-1977  
FAX (916) 341-5543 • Internet Address: <http://www.waterboards.ca.gov/stormwtr/index.html>



**Arnold Schwarzenegger**  
*Governor*

To: Storm Water Permit Holder

RE: NOTICE OF TERMINATION OF COVERAGE UNDER THE GENERAL  
**INDUSTRIAL STORM WATER PERMIT (GENERAL PERMIT)**

To terminate your coverage under the General Permit, please complete and submit the attached Notice of Termination (NOT) to your local Regional Water Quality Control Board (RWQCB). The addresses of each RWQCB, as well as staff contacts can be located on page 9 of the attached Annual Report.

You are still responsible for completing an Annual Report for the period after July 1 that your facility was required to be permitted. The completed Annual Report should be submitted along with your NOT.

Submittal of a NOT does not guarantee termination and outstanding invoices will remain payable. If your NOT is denied, you will be required to continue monitoring and reporting activities required by the General Permit and all outstanding invoice(s) are due. You will be notified of your NOT status by the RWQCB or State Water Resources Control Board. Approval of your Notice of Termination does not relieve you from paying any applicable outstanding invoices.

Should you have any questions regarding this matter, please contact your local RWQCB or the Storm Water Unit at (916) 341-5538.

Sincerely,

Storm Water Unit  
Division of Water Quality

Enclosure

SEND TO YOUR LOCAL RWQCB FOR APPROVAL

State of California  
State Water Resources Control Board

**NOTICE OF TERMINATION**

Submission of this Notice of Termination constitutes notification that the facility operator identified below is no longer required to comply with the **Industrial Activities** Storm Water General Permit No. 97-03-DWQ.

**I. WDID NO.** \_\_\_\_\_

**II. FACILITY OPERATOR**

NAME \_\_\_\_\_ CONTACT PERSON \_\_\_\_\_  
ADDRESS \_\_\_\_\_ TITLE \_\_\_\_\_  
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_ PHONE \_\_\_\_\_

**III. FACILITY SITE INFORMATION**

FACILITY NAME \_\_\_\_\_ CONTACT PERSON \_\_\_\_\_  
LOCATION \_\_\_\_\_ TITLE \_\_\_\_\_  
CITY \_\_\_\_\_ STATE **CA** ZIP \_\_\_\_\_ PHONE \_\_\_\_\_  
SIC CODE(S) \_\_\_\_ / \_\_\_\_ / \_\_\_\_ , \_\_\_\_ / \_\_\_\_ / \_\_\_\_ TYPE OF BUSINESS \_\_\_\_\_

**IV. BASIS OF TERMINATION**

- \_\_\_\_\_ 1. **Closed Facility.** The facility is closed and all closure, moving, and clean-up activities are complete.  
Date of closure \_\_\_\_/\_\_\_\_/\_\_\_\_ Are you moving to a new location in CA? \_\_\_\_ Yes \_\_\_\_ No  
If Yes, start date at new location? \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Will you file new NOI? \_\_\_\_ Yes \_\_\_\_ No

**NEW FACILITY INFORMATION**

NAME \_\_\_\_\_ CONTACT PERSON \_\_\_\_\_  
MAILING ADDRESS \_\_\_\_\_ TITLE \_\_\_\_\_  
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_ PHONE \_\_\_\_\_

- \_\_\_\_\_ 2. **Light Industry Exemption.** Exposure of industrial activities, materials, and equipment to storm water has been eliminated (Applies only to certain facilities - see instructions). Complete and submit Attachment A.  
Date of evaluation: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Date exposure eliminated (if applicable): \_\_\_\_/\_\_\_\_/\_\_\_\_  
Planned date of next evaluation: \_\_\_\_ / \_\_\_\_ / \_\_\_\_
- \_\_\_\_\_ 3. **No Storm Water Discharge.** Storm water associated with industrial activity does not discharge to waters of the United States because:  
\_\_\_\_ a. the storm water is retained on site (such as in evaporation or percolation ponds).  
\_\_\_\_ b. the storm water is discharged to a municipal sanitary sewer systems or municipal combined sewer system.  
\_\_\_\_ c. the storm water is retained offsite (such as in evaporation or percolation ponds).
- \_\_\_\_\_ 4. **Not Required to be Permitted.** The facility is not required by federal regulations to be regulated by an industrial activities storm water NPDES permit.

SEND TO YOUR LOCAL RWQCB FOR APPROVAL

5. **Regulated by Another Permit.** Discharge of storm water associated with industrial activity is specifically regulated by another general or individual NPDES permit.

NPDES Permit No. \_\_\_\_\_ Date coverage began \_\_\_\_/\_\_\_\_/\_\_\_\_

6. **New Facility Operator.** There is a new facility operator of the identified facility.

Date facility was transferred to new facility operator \_\_\_\_/\_\_\_\_/\_\_\_\_.

Have you notified the new facility operator of the storm water NPDES Permit requirements? \_\_\_\_ Yes \_\_\_\_ No

**NEW FACILITY OPERATOR INFORMATION**

NAME \_\_\_\_\_ CONTACT PERSON \_\_\_\_\_  
MAILING ADDRESS \_\_\_\_\_ TITLE \_\_\_\_\_  
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_ PHONE \_\_\_\_\_

**V. ADDITIONAL TERMINATION INFORMATION**

Are you attaching any additional termination information? \_\_\_\_ Yes \_\_\_\_ No

**VI. FACILITY PHOTOGRAPHS**

Have you attached facility photographs? \_\_\_\_ Yes \_\_\_\_ No (See Instructions)

**VII. ANNUAL REPORT**

Have you attached an Annual Report? \_\_\_\_ Yes \_\_\_\_ No (See Instructions)

**VIII. CERTIFICATION**

I certify under penalty of law that 1) I am not required to be permitted under the Industrial Activities Storm Water General Permit No. 97-03-DWQ, and 2) this document and all attachments were prepared under my direction and supervisions in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. I am aware that it is unlawful under the Clean Water Act to discharge storm water associated with industrial activity to waters of the United States if the discharge is not authorized by a NPDES permit, and there are significant penalties for submitting false information. I understand that the facility operator is still required to submit an annual report to the Regional Water Board by July 1. I also understand that the submittal of this Notice of Termination does not release a facility operator from liability for any violations of the General Permit or the Clean Water Act.

PRINTED NAME \_\_\_\_\_ TITLE \_\_\_\_\_

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_/\_\_\_\_/\_\_\_\_

**REGIONAL WATER BOARD USE ONLY**

**Approved** and sent to State Water Board for termination  **Denied** and returned to applicant

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Printed Name Signature Date

NOT Effective Date:

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_

State of California  
State Water Resources Control Board

**INSTRUCTIONS FOR COMPLETING  
NOTICE OF TERMINATION OF COVERAGE UNDER  
INDUSTRIAL ACTIVITIES STORM WATER GENERAL PERMIT NO. 97-03-DWQ**

**SECTION 1 -- WDID NO.**

The WDID NO. is a number assigned to each facility after the Notice of Intent is filed. The WDID number can be found on the annual invoice where it is referenced as the "Facility I.D. Number." If you do not know your facility's WDID No., please call the State Water Board or Regional Water Board (page 9 of the attached Annual Report) and request it prior to submitting the Notice of Termination.

**SECTION II -- FACILITY OPERATOR**

Enter the name, provided on the Notice of Intent, of the person, company, firm, public organization, or any other entity which owns the business or operations at the facility. The facility operator information may or may not be the same as the facility information requested in Section III.

**SECTION III -- FACILITY SITE INFORMATION**

Enter the facility's official or legal name, provided on the Notice of Intent, and provide the address, county, and contact person information for the facility. Where the location of the facility is different than the mailing address, a narrative description of the facility location must be provided. The contact person should be the plant or site manager who is completely familiar with the facility and responsible for General Permit compliance. Provide the Standard Industrial Classification (SIC) code(s) that are applicable to the facility and describe the type of business that is conducted at the facility. For closed facilities, however, provide the SIC code(s) and describe the type of business that had been conducted at the facility.

**SECTION IV -- BASIS OF TERMINATION**

Check the category which best defines the basis of your termination request. Provide dates and other information requested. If the categories provided do not fully or accurately identify the basis of your termination, attach an additional explanation and check the "Yes" box in Section V.

1. Closed Facility. This category applies when the facility is closed and all closure, moving, and clean-up activities are complete. This means that all industrial activities that are subject to federal storm water regulations have been discontinued and that the exposure of industrial equipment, materials, and waste to storm water has been eliminated. The facility operator should refer to the definition of "storm water associated with industrial activity" in Attachment 4 of the General Permit. Facilities that discontinue operations shall not be considered for termination if industrial equipment, materials, or waste remain exposed to storm water. The date when closure is complete shall be provided. If you are moving to a new facility requiring General Permit coverage, provide the name, address, and contact of the new facility.
2. Light Industry Exemption. This category applies only to certain facilities identified as category 10 on Attachment 1 of the General Permit (commonly referred to as "light industries") where exposure of industrial activities, materials, and equipment to storm water has been eliminated. Accidental spills, minor leaks, loss during loading and unloading, movement of unhooded equipment, emissions of dust or particles from stacks or air exhaust systems, and other type of intermittent sources should be considered when determining exposure. Complete and submit Attachment A entitled "Checklist to Evaluate Potential Storm Water Pollutant Sources". Provide the date the facility was evaluated and the date the next evaluation is planned. If you have taken steps to eliminate exposure of industrial activities, materials, and equipment to storm water, provide the date that exposure was eliminated.
3. No Storm Water Discharge. This category applies to facilities where storm water associated with industrial activity does not discharge to waters of the United States. These include facilities where all the storm water is retained on site, discharged to a municipal sanitary sewer system or municipal combined sewer system, or discharged to evaporation or percolation ponds offsite that do not discharge to waters of the United States.
4. Not Required to be Permitted. This category applies to facilities that are not required by federal regulations to be covered by a NPDES storm water permit. Attachment 1 of the General Permit identifies ten categories of industrial facilities required to obtain NPDES permits for discharge of storm water associated with industrial activity. A facility operator who has filed a Notice of Intent for coverage under the General Permit and later

determines that the facility is not included in the identified categories may request termination of coverage. Make sure that the SIC code(s) and type of business in Section III of the NOT form are accurate.

5. Regulated by Another Permit. This category applies to facilities where discharges of storm water associated with industrial activity are currently regulated under another general or individual NPDES permit. The general or individual NPDES permit number and date coverage began shall be provided.
6. New Facility Operator. This category applies when there is a new facility operator of the identified facility. The previous facility operator must submit a Notice of Termination and the new facility operator must submit a Notice of Intent and fee for coverage under the General Permit. Provide the date the new facility operator took responsibility for the facility and the new facility operator information. Note that the previous facility operator may be liable for discharges from the facility until the new facility operator files a Notice of Intent for coverage under the General Permit.

#### **SECTION V -- ADDITIONAL BASIS OF TERMINATION INFORMATION**

If none of the basis of termination in Section IV accurately reflect your basis for termination, answer "Yes" and attach a detailed explanation why you believe your facility is not required to be permitted.

#### **SECTION VI -- FACILITY PHOTOGRAPHS**

If category 1, 2, or 3 is checked in Section IV, attach photographs of all areas of the facility associated with industrial activity including any on-site or off-site storm water containment areas. If category 4, 5, or 6 is checked in Section IV, contact your Regional Water Board (page 9 of the attached Annual Report) to determine whether photographs must be submitted.

#### **SECTION VII -- ANNUAL REPORT**

You are responsible for submitting an Annual Report (Attachment B) for all compliance activities conducted between July 1 and the date the facility was no longer required to be permitted. In order to assist the Regional Board in processing your NOT, a completed Annual Report should be attached to your NOT. If you cannot submit an Annual Report, please contact your Regional Board office prior to submitting your NOT.

#### **SECTION VIII -- CERTIFICATION**

This section should be read by the facility operator. Please note that the facility operator is still required to prepare and submit a final annual report to the appropriate Regional Water Board office by July 1. The annual report must report all compliance activities that occurred during the current reporting period and prior to the date this Notice of Termination was submitted. The Notice of Termination must be signed by:

For a corporation: a responsible corporate officer. For a Partnership or Sole Proprietorship: a general partner or the proprietor, respectively. For a Municipality, State, or other Non-Federal Public Agency: either a principle executive officer or ranking elected official. For a Federal Agency: either the chief or senior executive officer of the agency.

#### Where To File

Submit the Notice of Termination to the Regional Water Board responsible for the area in which the facility is located. See attached State and Regional Boards Directory. If the Regional Water Board agrees with the basis of termination, the Notice of Termination will be transmitted to the State Water Board for processing. Approval of your Notice of Termination does not relieve you from paying any applicable outstanding invoices. If the Regional Water Board does not agree with the basis of termination, the Notice of Termination will be returned. The Regional Water Board may contact you or inspect your facility prior to (or following) approving this Notice of Termination.

**CHECKLIST TO EVALUATE POTENTIAL STORM WATER POLLUTANT SOURCES  
(COMPLETE ONLY WHEN CHECKING ITEM IV.2 ON NOT FORM)**

The purpose of this checklist is to 1) help you determine whether the exposure of industrial activities, materials, and equipment to storm water has been eliminated, and 2) help Regional Water Board staff to evaluate the adequacy of your pollution control activities and Notice of Termination (NOT). Please answer all questions. Answering "YES" to a question does not negate your NOT. For each "yes" answer you must explain what you are doing to eliminate or prevent exposure from the potential pollutant source. For example, if there are liquid storage tanks outdoors behind secondary containment but the storm water is collected and discharged to the sanitary sewer, then the potential source for storm water exposure from the storage tanks may be satisfactorily eliminated. For the purpose of this questionnaire, "outdoors" are areas of the facility that are not beneath permanent roofed structures.

**1. All prohibited non-storm water discharges have been eliminated or otherwise permitted.**

	Yes	No
a. Are materials or equipment cleaned outdoors?	_____	_____
b. Are wash or rinse waters generated on-site?	_____	_____
c. Are there any discharges (other than storm water) entering the storm drain system?	_____	_____
d. Do any drains under roofed areas discharge to the storm drain system?	_____	_____
e. Have there been any accidental spills into the storm drain system in the last year?	_____	_____
f. Are any process waste waters disposed of outdoors?	_____	

**2. All significant materials related to industrial activity (including waste materials) are not exposed to storm water or authorized non-storm water discharges.**

	Yes	No
a. Are there any materials stored outdoors?	_____	_____
b. Are there any materials handled outdoors?	_____	_____
c. Are there any outdoor loading docks?	_____	_____
d. Are there any above ground liquid or non-liquid storage tanks outdoors?	_____	_____
e. Are there any outdoor loading/unloading operations?	_____	_____
f. Are there any products or by-products manufactured or used outdoors?	_____	_____
g. Are there any waste products manufactured or used outdoors?	_____	_____
h. Are there any outdoor waste disposal areas?	_____	_____
i. Is any process wastewater disposed of outdoors?		
j. Are there any drums, pallets, or containers outdoors?	_____	_____

- |  |       |       |
|--|-------|-------|
| k. Are materials handled/stored on immediate access roads/railways?  | _____ | _____ |
| l. Are vehicles maintained or fueled outdoors?   | _____ | _____ |
| m. Are any materials stored or disposed of in outdoor ponds or impoundments?   | _____ | _____ |
| n. Are materials stored outdoors temporarily?  | _____ | _____ |
| o. Does any manufacturing take place outdoors?   | _____ | _____ |
| p. Have there been any spills or leaks outdoors in the last year?  | _____ | _____ |
| q. Are there areas where materials remain exposed to storm water from past industrial activity?  | _____ | _____ |
| <b>3. All industrial activities and industrial equipment are not exposed to storm water or authorized non-storm water discharges.</b>  |       |       |
|  | Yes   | No    |
| a. Are any material handling vehicles (such as forklifts) parked outdoors?   | _____ | _____ |
| b. Is permanent industrial equipment located outdoors?   | _____ | _____ |
| c. Is portable industrial equipment used outdoors?   | _____ | _____ |
| d. Do any material handling vehicles (such as forklifts and trucks) or outdoor industrial equipment come into contact with materials?  | _____ | _____ |
| e. Is there any unhoused rooftop equipment (such as air conditioners, scrubbers, etc.)?  | _____ | _____ |
| <b>4. There is no exposure of storm water to significant materials associated with industrial activities through direct or indirect pathways such as from industrial activities that generate dust and particulates.</b> |       |       |
|  | Yes   | No    |
| a. Are there any emissions of dust or particles from stacks or air exhaust systems?  | _____ | _____ |
| b. Are there any emissions of dust or particles from other outlets such as windows, loading docks, etc.?   | _____ | _____ |
| c. Have there been any spills or leaks associated with maintenance of stacks or air exhaust systems?   | _____ | _____ |

# CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARDS

**NORTH COAST REGION (1)**  
 5550 Skylane Blvd, Ste. A  
 Santa Rose, CA 95403  
 (707) 576-2220 FAX: (707)523-0135  
<http://www.waterboards.ca.gov/northcoast/>

**SAN FRANCISCO BAY REGION (2)**  
 1515 Clay Street, Ste. 1400  
 Oakland, CA 94612  
 (510) 622-2300 FAX: (510) 622-2640  
<http://www.waterboards.ca.gov/sanfranciscobay/>

**CENTRAL COAST REGION (3)**  
 895 Aerovista Place, Ste 101  
 San Luis Obispo, CA 93401  
 (805) 549-3147 FAX: (805) 543-0397  
<http://www.waterboards.ca.gov/centralcoast/>

**LOS ANGELES REGION (4)**  
 320 W. 4<sup>th</sup> Street, Ste. 200  
 Los Angeles, CA 90013  
 (213) 576-6600 FAX: (213) 576-6640  
<http://www.waterboards.ca.gov/losangeles/>

**LAHONTAN REGION (6 SLT)**  
 2501 Lake Tahoe Blvd.  
 South Lake Tahoe, CA 96150  
 (530) 542-5400 FAX: (530) 544-2271  
<http://www.waterboards.ca.gov/lahontan/>

**VICTORVILLE OFFICE (6V)**  
 14440 Civic Drive, Ste. 200  
 Victorville, CA 92392-2383  
 (760) 241-6583 FAX: (760) 241-7308  
<http://www.waterboards.ca.gov/lahontan/>

**CENTRAL VALLEY REGION (5S)**  
 11020 Sun Center Dr., #200  
 Rancho Cordova, CA 95670-6114  
 (916) 464-3291 FAX: (916) 464-4645  
<http://www.waterboards.ca.gov/centralvalley/>

**FRESNO BRANCH OFFICE (5F)**  
 1685 E St.  
 Fresno, CA 93706  
 (559) 445-5116 FAX: (559) 445-5910  
<http://www.waterboards.ca.gov/centralvalley/>

**REDDING BRANCH OFFICE (5R)**  
 415 Knollcrest Drive, Ste. 100  
 Redding, CA 96002  
 (530) 224-4845 FAX: (530) 224-4857  
<http://www.waterboards.ca.gov/centralvalley/>

**COLORADO RIVER BASIN REGION (7)**  
 73-720 Fred Waring Dr., Ste. 100  
 Palm Desert, CA 92260  
 (760) 346-7491 FAX: (760) 341-6820  
<http://www.waterboards.ca.gov/coloradoriver/>

**SANTA ANA REGION (8)**  
 3737 Main Street, Ste. 500  
 Riverside, CA 92501-3339  
 Phone (951) 782-4130 FAX: (951) 781-6288  
<http://www.waterboards.ca.gov/santaana/>

**SAN DIEGO REGION (9)**  
 9174 Sky Park Court, Ste. 100  
 San Diego, CA 92123-4340  
 (858) 467-2952 FAX: (858) 571-6972  
<http://www.waterboards.ca.gov/sandiego/>

**STATE OF CALIFORNIA**  
 Arnold Schwarzenegger, Governor

**CALIFORNIA ENVIRONMENTAL  
 PROTECTION AGENCY**  
 Linda S. Adams, Secretary

**STATE WATER RESOURCES  
 CONTROL BOARD**  
 Tam M. Doduc Chair

