

Application for Initial Funding under the State Fiscal Stabilization Fund Program

**CFDA Numbers: 84.394 (Education Stabilization Fund) and
84.397 (Government Services Fund)**



**U.S. Department of Education
Washington, D.C. 20202**

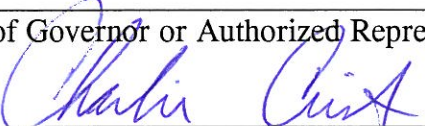
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Expiration Date: 9/30/2009**

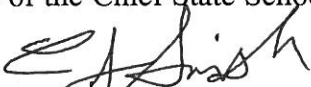
Paperwork Burden Statement

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STATE FISCAL STABILIZATION FUND APPLICATION

**PART 1: APPLICATION COVER SHEET
(CFDA Nos. 84.394 and 84.397)**

Legal Name of Applicant (Office of the Governor): Executive Office of the Governor	Applicant's Mailing Address: 400 South Monroe Street Tallahassee, Florida 32399-0001
State Contact for the Education Stabilization Fund (CFDA No. 84.394) Name: Don Winstead Position and Office: Special Advisor to the Governor, Florida Office of Economic Recovery Contact's Mailing Address: 400 South Monroe Street Tallahassee, Florida 32399-0001 Telephone: 850-488-5228 Fax: 850-414-2618 E-mail address: Don.Winstead@myflorida.com	State Contact for the Government Services Fund (CFDA No. 84.397) <i>(Enter "same" if the same individual will serve as the contact for both the Education Stabilization Fund and the Government Services Fund.)</i> Name: Same Position and Office: Contact's Mailing Address: Telephone: Fax: E-mail address:
To the best of my knowledge and belief, all of the information and data in this application are true and correct.	
Governor or Authorized Representative of the Governor (Printed Name): Charlie Crist, Governor, State of Florida	Telephone: 850-488-2272
Signature of Governor or Authorized Representative of the Governor: 	Date: 5.6.09

Recommended Statement of Support from the Chief State School Officer <i>(Optional)</i> : The State educational agency will cooperate with the Governor in the implementation of the State Fiscal Stabilization Fund program.	
Chief State School Officer (Printed Name): Eric J. Smith, Florida Commissioner of Education	Telephone: 850-245-9663
Signature of the Chief State School Officer: 	Date: 5-6-09

PART 4, SECTION C: MAINTENANCE-OF-EFFORT BASELINE DATA

SPECIAL NOTES:

- A State has some flexibility in determining the “levels of State support” for MOE purposes. For example, for the purpose of the elementary and secondary education MOE requirements, a State may use the level of support that the State provides through its primary elementary and secondary funding formulae, or it may use other relevant data. See Appendix C – Instructions for Part 4: Maintenance of Effort.

1. Levels of State support for elementary and secondary education *(the amounts may reflect the levels of State support on either an aggregate basis or a per-student basis):*

FY 2006 \$ 9,125,618,593 (Actual)
FY 2009* \$ 8,557,259,970 (Estimated Expenditures)
FY 2010* \$ 8,514,235,951 (Governor’s Recommendation)
FY 2011* \$ Data Unavailable

(* Provide data to the extent that data are currently available.)

2. Levels of State support for public institutions of higher education *(enter amounts for each year):*

FY 2006 \$ 3,268,590,344 (Actual)
FY 2009* \$ 3,426,044,434 (Estimated Expenditures)
FY 2010* \$ 3,426,054,706 (Governor’s Recommendation)
FY 2011* \$ Data Unavailable

(* Provide data to the extent that data are currently available.)

3. Additional Submission Requirements: In an attachment to the application – ATTACHMENT 1

- (a) Identify and describe the data sources used in determining the levels of State support for elementary and secondary education; - and -
- (b) Identify and describe the data sources used in determining the levels of State support for public IHEs.

PART 5, SECTION A: STATE USES OF THE EDUCATION STABILIZATION FUND

SPECIAL NOTES:

- Section A of Part 5 requests data on the Education Stabilization Fund (CFDA No. 84.394). In completing this portion of the application, please refer to Appendix D – Instructions for Part 5: State Uses of Funds.
- At a later date, the Department will collect data on the levels of State support for elementary, secondary, and postsecondary education in FY 2011.
- These data may differ from the data in the levels of support for maintenance-of-effort purposes. See instructions in Appendix D.
- The term “postsecondary education” refers to public IHEs.

1. Levels of State Support for Elementary, Secondary, and Postsecondary Education

Provide the following data on the levels of State support for elementary, secondary, and postsecondary education:

- | | |
|---|--|
| (a) Level of State support for elementary and secondary education in FY 2008 provided through the State’s primary elementary and secondary education funding formulae | \$ <u>9,709,211,881</u> |
| (b) Level of State support for public IHEs in FY 2008 | \$ <u>3,731,802,149</u> |
| (c) Level of State support for elementary and secondary education in FY 2009 provided through the State’s primary elementary and secondary education funding formulae | \$ <u>8,557,259,970</u> |
| (d) Level of State support for public IHEs in FY 2009 | \$ <u>3,426,044,434</u> |
| (e) Level of State support for elementary and secondary education in FY 2010 provided through the State’s primary elementary and secondary education funding formulae | \$ <u>8,514,235,951</u>
(Governor’s Recommendation) |
| (f) Level of State support for public IHEs in FY 2010 | \$ <u>3,426,054,706</u>
(Governor’s Recommendation) |

Additional Information: Did the State, prior to October 1, 2008, approve formula increases to support elementary and secondary education in FY 2010 or 2011, or to phase in State equity and adequacy adjustments?*

Yes X No

* See Appendix D Worksheets for further guidance on how such increases affect a State’s “use of funds” calculations.

2. State’s Primary Education Funding Formulae

Additional Submission Requirement: In an attachment to the application, identify and describe each of the State’s primary elementary and secondary education funding formulae that were used in determining the calculations provided above for the levels of State support for elementary and secondary education.

ATTACHMENT 2

3. Data on State Support for Postsecondary Education

Additional Submission Requirement: In an attachment to the application, identify and describe the specific State data sources that were used in determining the calculations provided above for the levels of State support for public IHEs.

ATTACHMENT 2

4. Restoration Amounts

Based on the Worksheets included in Appendix D, calculate and provide the amount of Education Stabilization funds that the State will use to restore the levels of State support for elementary, secondary, and postsecondary education in FYs 2009 and 2010. As explained in the Instructions in Appendix D, a State must determine the amount of funds needed to restore fully the levels of State support for elementary, secondary, and postsecondary education in FY 2009 before determining the amount of funds available to restore the levels of such support in FY 2010.

SPECIAL NOTES:	
○	At a later date, the Department will collect data on the amount of funds, if any, that remain available to (1) restore the levels of State support for elementary, secondary, and postsecondary education in FY 2011, and (2) award subgrants to local educational agencies (LEAs) based on their proportionate shares of funding under Part A of Title I of the ESEA.
○	The calculations for these data must be based on the State’s total Education Stabilization Fund allocation as reflected in Appendix A and not on the State’s initial Education Stabilization Fund award.
○	Although the State must follow the Instructions in Appendix D, in order to determine the amount of funds that LEAs and IHEs will receive under the program (i.e., the “restoration amounts”), the Governor has discretion in determining when to release these funds to LEAs and IHEs.

- | | |
|--|-------------------------|
| (a) Amount of the State’s total Education Stabilization Fund allocation to be used to restore the level of State support for elementary and secondary education in FY 2009 | \$ <u>1,151,951,911</u> |
| (b) Amount of the State’s total Education Stabilization Fund allocation to be used to restore the level of State support for public IHEs in FY 2009 | \$ <u>305,757,715</u> |



Restoration Amounts (continued)

- (c) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for elementary and secondary education in FY 2010 \$ 598,099,728
- (d) Amount of the State's total Education Stabilization Fund allocation to be used to restore the level of State support for public IHEs in FY 2010 \$ 153,029,890
- (e) Amount of funds, if any, remaining after restoring State support for elementary, secondary, and postsecondary education in FY 2009 and FY 2010 \$ 0

5. Process for Awarding Funds to Public IHEs

Additional Submission Requirement: In an attachment to the application, describe the process that the State will use to determine the amount of funding that individual public IHEs will receive from the funds that the State sets aside to restore the levels of State support for these institutions.

ATTACHMENT 2



ATTACHMENT 1

PART 4, SECTION C: MAINTENANCE-OF-EFFORT BASELINE DATA, Page 6

3. Additional Submission Requirements: In an attachment to the application –

- (a) Identify and describe the data sources used in determining the levels of State support for elementary and secondary education; - and –

Total funding from State sources distributed to LEA's through the State's primary funding formula for elementary and secondary education; the Florida Education Finance Program (FEFP). Data for 2005-06 is actual, 2008-09 is current year estimated expenditure amounts, and 2009-10 is based upon the Governor's 2009-10 budget recommendations.

- (b) Identify and describe the data sources used in determining the levels of State support for public IHEs.

Total State funding delivered to public State postsecondary education institutions through the State's primary distribution formulae for the operation of Workforce Education, Community Colleges and State Universities. Data for 2005-06 is actual, 2008-09 is current year estimated expenditure amounts, and 2009-10 is estimated based upon the Governor's 2009-10 budget recommendations. These sources exclude student tuition & fees, funding for capital projects and endowments, and research. They include unrestricted appropriations from state revenue sources to public IHEs as follows:

Workforce Education:

- o Performance Based Incentive Funding
- o Workforce Development Education

Community Colleges:

- o Performance Based Incentive Funding
- o Community College Program Fund
- o Community College Lottery Funds
- o Community College Baccalaureate Programs

State Universities (adjusted to remove funds directed to research):

- o Education & General Funds
- o Direct Appropriations for Medical Education

SK

ATTACHMENT 2

PART 5, SECTION A: STATE USES OF THE EDUCATION STABILIZATION FUND, Page 9

2. State's Primary Education Funding Formulae

Total funding from State sources distributed to LEA's through the State's primary funding formula for elementary and secondary education; the Florida Education Finance Program (FEFP). Data for 2005-06 is actual, 2008-09 is current year estimated expenditure amounts, and 2009-10 is based upon the Governor's 2009-10 budget recommendations.

3. Data on State Support for Postsecondary Education

Total State funding delivered to public State postsecondary education institutions through the State's primary distribution formulae for the operation of Workforce Education, Community Colleges and State Universities. Data for 2005-06 is actual, 2008-09 is current year estimated expenditure amounts, and 2009-10 is estimated based upon the Governor's 2009-10 budget recommendations.

5. Process for Awarding Funds to Public IHEs

Additional Submission Requirement: In an attachment to the application, describe the process that the State will use to determine the amount of funding that individual public IHEs will receive from the funds that the State sets aside to restore the levels of State support for these institutions.

Stabilization funds will be allocated to individual public institutions of higher education through the primary allocation formulae which are used to allocate state support. These formulae are based on historical funding and are adjusted annually based on factors such as changes in student enrollment, the addition of new programs or facilities, and performance outcomes. Separate formulae are used for workforce education, community colleges and state universities. The allocation formulae are approved annually by the state legislature. The specific categories of funding associated with the state's primary funding formulae for IHEs which will be supported with Stabilization funds are the same as those listed in Part 4, Section C of the application, Maintenance of Effort Baseline Data.

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Request for a Waiver of the Maintenance-of-Effort (MOE)
Requirements Governing the State Fiscal Stabilization Fund Program

The State of Florida requests a waiver of the following
Stabilization program MOE requirements (check applicable lines):

- | | |
|---------------|---|
| <u> x </u> | The FY 2009 elementary and secondary education MOE requirement. |
| <u> -- </u> | The FY 2009 public IHE MOE requirement. |
| <u> x </u> | The FY 2010 elementary and secondary education MOE requirement. |
| <u> x </u> | The FY 2010 public IHE MOE requirement. |
| <u> -- </u> | The FY 2011 elementary and secondary education MOE requirement. |
| <u> -- </u> | The FY 2011 public IHE MOE requirement. |

In support of this request, the State provides the following data:

1. For waivers of a FY 2009 MOE requirement:

- | | |
|--------------------------|--|
| \$ <u>11,983,304,404</u> | The aggregate level of State support for elementary, secondary, and public higher education for FY 2009. |
| \$ <u>45,241,105,137</u> | The total revenues available to the State (for education and other purposes) for FY 2009. |
| \$ <u>13,441,014,030</u> | The aggregate level of State support for elementary, secondary, and public higher education for FY 2008. |
| \$ <u>50,950,572,246</u> | The total revenues available to the State (for education and other purposes) for FY 2008. |

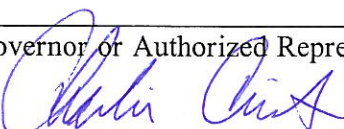
2. For waivers of a FY 2010 MOE requirement:

- \$ 11,940,290,657 The aggregate level of State support for elementary, secondary, and public higher education for FY 2010.
- \$ 42,039,634,015 The total revenues available to the State (for education and other purposes) for FY 2010.
- \$ 11,983,304,404 The aggregate level of State support for elementary, secondary, and public higher education for FY 2009.
- \$ 45,241,105,137 The total revenues available to the State (for education and other purposes) for FY 2009.

3. For waivers of a FY 2011 MOE requirement:

- \$ NA The aggregate level of State support for elementary, secondary, and public higher education for FY 2011.
- \$ NA The total revenues available to the State (for education and other purposes) for FY 2011.
- \$ NA The aggregate level of State support for elementary, secondary, and public higher education for FY 2010.
- \$ NA The total revenues available to the State (for education and other purposes) for FY 2010.

I assure that the State of Florida has available for inspection documentation that demonstrates that these data are accurate, valid, and reliable.

Governor or Authorized Representative of the Governor (Printed Name): Charlie Crist, Governor, State of Florida	Telephone: 850-488-2272
Signature of Governor or Authorized Representative of the Governor: 	Date: 5-6-09